

ISD Requirements Worksheet

SSR Number(s): 2004-0528-01

**SSR Title: Accepting DEA Number & Expanding
Field Size**

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Subsystem(s): ENC

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Date Prepared: 9/15/04

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Version #	Date

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1 Signatures (Required)

ISD Representative who prepared the document

Name: Lee Roberts
(Please Print)

Signature: _____ Date: _____

ISD Project Manager who reviewed/approved the document

Name: Mike Upchurch
(Please Print)

Signature: _____ Date: _____

AHCCCS (Arizona)User Representative who reviewed/approved the document

Name: Brent Ratterree Implementing:
(Please Print) Yes: ☐ No: ☐

Signature: _____ Date: _____

MED-QUEST (Hawaii) User Representative who reviewed/approved the document

Name: Wileen Ortega Implementing:
(Please Print) Yes: ☐ No: ☐

Signature: _____ Date: _____

ISD Testing Representative who reviewed/approved the document

Name: Lori Petre
(Please Print)

Signature: _____ Date: _____

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2 Signatures (If Applicable)

ISD Operations Representative who reviewed/accepted the document

Name: Ron Chapman
(Please Print)

Signature: _____ Date: _____

ISD Database Representative who reviewed/accepted the document

Name: Ming Chen
(Please Print)

Signature: _____ Date: _____

ISD Project Manager who reviewed/accepted the document

Name: Dennis Koch
(Please Print)

Signature: _____ Date: _____

ISD Project Manager who reviewed/accepted the document

Name: Liz Liska
(Please Print)

Signature: _____ Date: _____

Title:

Name: David Botsko
(Please Print)

Signature: _____ Date: _____

Title:

Name: Del Swan
(Please Print)

Signature: _____ Date: _____

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3 Objective

Expand the Encounter Pharmacy submission file format to include additional items relating to submission of DEA License number and expand the length of certain dollar amount fields.

4 Impacts & Critical Dates

(REQUIRED – If Applicable)

4.1 Business & System Impacts

Form C Encounter Database Load

Form C Encounter View

Form C Encounter Error Correct

4.2 Critical Dates

5 Agency Benefits

The addition of the DEA License will enable the Agency to fulfill its oversight responsibility in relation to scheduled drugs and prescribing provider activities. Due to the increase in cost for various pharmaceuticals, the expansion of amount fields will allow the Agency to capture the actual costs of the drug. At this time, prescriptions over \$9,999.99 cannot be processed.

6 User Business Requirements

Increase the field lengths for the following fields to allow for a total submission of \$999,999.99 rather than \$9,999.99:

- Ingredient Cost
- Usual and Customary Charge
- Gross Amount Due
- Other Payer Amount
- Patient Paid Amount
- Payer Paid Amount
- Payer Allowed Amount
- Payer Deductible

The following fields need to be stored in the PMMIS database:

- Unit Dose Indicator

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- 0 = Not Specified
- 1 = Not Unit Dose
- 2 = Manufacturer Unit Dose
- 3 = Pharmacy Unit Dose

Metric Decimal Quantity

Currently stored in Item Quantity. Item Quantity field will be increased in size to store 99999.999.

Unit of Measure

- EA = Each
- GM = Grams
- ML = Milliliters

PA Type Code

- 0 = Not Specified
- 1 = Prior Authorization
- 2 = Medical Certification
- 3 = EPSDT (Early Periodic Screening Diagnosis Treatment)
- 4 = Exemption for Co pay
- 5 = Exemption from RX
- 6 = Family Plan Indic
- 7 = AFDC (Aid to Families with Dependent Children)
- 8 = Payer Defined Exemption

PA Number Submitted – Pic X(12)

Payer Allowed Amount PIC X 9(6).99

Conversion Indicator – PIC X(1)

- Y = Conversion from 5.1 to 3.2

Per a request from DHS/BHS, allow 20 positions in the Health Plan Claim Number. This will allow AHCCCS to receive and return their complete Health Plan Claim Number.

Allow Health Plans to submit either the AHCCCS Provider ID or the DEA Number in the Prescribing Provider ID Field.

Add additional edits in conjunction with the Prescribing Provider ID requirement:

1. If the health plan submits an AHCCCS Provider ID, the ID must be a valid ID (located on the AHCCCS Provider File – but does not need to be active)
If the health plan submits an invalid AHCCCS Provider ID, pend the encounter back to the health plan for correction with an edit description of “Invalid Prescribing Provider AHCCCS ID”.
2. If the health plan submits a DEA Number add additional checks:
If the DEA Number exists on the AHCCCS Provider License Table and is associated with only one AHCCCS Provider Number, accept as a valid DEA Number.

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If the DEA Number exists on the AHCCCS Provider License Table and is associated with more than one active AHCCCS provider number, create an in-house pend with a description of, “1 DEA, Multiple AHCCCS Ids”.

If the DEA Number does not exist on the AHCCCS Provider License Table, check to make sure it is a valid DEA Number using the following logic:

First Position must be either “A”, “B” or “M”

Second Position must be an alpha character

Positions 3-9: The seven numbers are added together using the following equation: $(1\text{st} + 3\text{rd} + 5\text{th}) + [2 \times (2\text{nd} + 4\text{th} + 6\text{th})]$. The second digit of that resulting sum equals the seventh digit of the prescriber DEA number.

If the DEA number does not exist on the AHCCCS Provider License Table and does not meet the ‘validation logic’ above, pend the encounter back to the health plan with a description of, “Prescribing Provider DEA Number not valid”.

Add the Prescribing Provider DEA Number and Prescribing Provider Qualifier to the same panels that display the Prescribing Provider AHCCCS ID number.

6.1 SSR Description

Expand the Encounter Pharmacy submission file format to include additional items relating to submission of DEA License number and expand the length of certain dollar amount fields.

6.2 Reason for Request (Mandated by law, policy change, etc.)

The expansion of the Amount fields allows for accurate reporting of the cost of the drug. Without these changes plans cannot submit the actual cost of some highly expensive pharmaceuticals.

The expansion of the Health Plan Claim Number allows AHCCCS to receive and return the complete claim number.

By allowing the submission of either the AHCCCS ID or the DEA, the maximum number of encounters will be accepted into the system for reporting and analysis.

7 Processing Impacts

The layout of the file passed to the mainframe from Mercator will be modified as follows:

Expand Ingredient Cost PIC 9(6). 99

Add Prescribing Provider ID Qualifier PIC X(2)

Expand Usual and Customary Charge PIC 9(6).99

Expand Gross Amount Due PIC 9(6). 99

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Expand Other Payer Amount PIC 9(6). 99

Expand Patient Paid Amount PIC 9(6) .99

Expand Health Plan Claim Number PIC X(20)

Due to changes of field lengths in the PMMIS Database, all programs that use EC-DRUG-CLM, EC-DRUG-DTL and EC-DRUG-DTL-A will need to be reviewed for possible code changes. At a minimum each program will need to be recompiled. Various on-line panels and reports will also be impacted because of the field length changes. These programs, panels and reports will be detailed in the system proposal.

Existing Encounter Edits for Prescribing Provider ID and Prescribing Provider Location Code may need to be modified to allow for the submission of either the DEA license or the AHCCCS Provider ID. Changes will be detailed in the system proposal.

New Encounter Edits for DEA License may need to be created. These will be detailed in the system proposal.

New fields being added to the PMMIS database will need to be displayed. Modifications to existing panels will be detailed in the system proposal.

8 Database Impacts

EC-DRUG-CLM

PSCR-ID-QUAL PIC X (2)

New field to identify the type of Prescribing Provider ID. 05 = Medicaid; 12 = DEA Number

PSCR-PR-ID expand to 10 bytes – PIC X(10)

EC-DRUG-DTL-A

ITM-QTY expand to 9 bytes – PIC X(9)

BIL-AMT expand to 9 Bytes – PIC X(9)

OTH-CVG-PMT-AMT expand to 9 Bytes – PIC X(9)

MDC-PAID-AMT expand to 9 bytes – PIC X(9)

HP-PAID-AMT expand to 9 bytes - PIC X(9)

MDC-APP-AMT expand to 9 bytes – PIC X(9)

MDC DED-AMT expand to 9 bytes – PIC X(9)

EC-DRUG-DTL

ITM-QTY expand to 5.3 – PIC 9(5).999

BIL-AMT expand to 6.2 bytes – PIC 9 (6).99

OTH-CVG-PMT-AMT expand to 6.2 bytes – PIC 9(6). 99

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MDC-PAID-AMT expand to 6.2 bytes – PIC 9 (6).99

HP-PAID-AMT expand to 6.2 bytes – PIC 9 (6).99

MDC-APP-AMT expand to 6.2 bytes – PIC 9 (6).99

MDC-DED-AMT expand to 6.2 bytes – PIC 9 (6).99

EC-DRUG-NSF

UNIT-DOSE-IND PIC X

New field

UNIT-MEASURE PIC XX

New Field

PA-TYPE-CODE PIC XX

New Field

PA-NUMBER PIC X(12)

New Field

EC-DRUG-PYR

PAYER-ALLOW-AMT PIC 9(6).99

New Field

EC-HIPAA-TRANS

FILE-CONVRT-IND PIC X(1)

New field to indicate the file was converted by Mercator from 5.1 to 3.2. Y = Yes, the file was converted. N = No, the file was not converted.

9 Security Requirements and Impacts

N/A

10 Documentation Requirements

N/A

11 Outstanding Issues

N/A

12 Assumptions

The edits required for the new fields added to the EC-DRUG-NSF database table will be covered under a separate SSR.

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13 Addendum

N/A

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